



Express Mail No. EV 366 599 849 US

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Louis B. Rosenberg  
 Serial No.: 09/823,943  
 Filing Date: March 30, 2001  
 For: HAPTIC REMOTE CONTROL FOR TOYS  
 Examiner: Cegielnik, Urzula M.  
 Art Unit: 3712

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith on Form PTO/SB/08A a listing of documents known to the Applicant and/or his attorney. Applicant respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicant does not waive any rights to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. Copies of the documents are enclosed.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /UMC/

Express Mail No. EV 315 187 906 US

This Information Disclosure Statement is being submitted before the mailing date of a Notice of Allowance in this application. No item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. In accordance with the requirements of 37 C.F.R. § 1.97(d), please find attached a check in the amount of \$180.00 to cover the fee set forth in 37 C.F.R. § 1.17p. The Commissioner is authorized to charge any additional fees to Deposit Account 16-1435. A duplicate of this document is attached for that purpose.

Respectfully submitted,

Date: Oct. 12, 2004  
\_\_\_\_\_  
John C. Alemanni (Reg. No. 47,384)

KILPATRICK STOCKTON LLP  
1001 West Fourth Street  
Winston-Salem, NC 27101  
(336) 607-7300

Substitute for form 1449A/PTO

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature	<i>Arszula M. Cegielnik</i> (03/11/2010)	Date Considered	
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EXAMINER. Include if reference considered, whether or not citation is in conformance with MPEP 909. Draw line through citation if not in conformance and not considered. Initials copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at <http://www.uspto.gov> or MPEP 901.04. <sup>3</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the grant of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete If Known

Application Number 09/823,943  
 Filing Date March 30, 2001  
 First Named Inventor Louis B. Rosenberg  
 Examiner Name Cegieliak, Urzula M.  
 Art Unit 3712  
 Attorney Docket No. IMM127 (51851/281195)

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 16-1435

Deposit Account Name Kilpatrick Stockton LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1001	790	2001	395	Utility filing fee		
	1002	350	2002	175	Design filing fee		
	1003	550	2003	275	Plant filing fee		
	1004	790	2004	395	Reissue filing fee		
	1005	160	2005	80	Provisional filing fee		
SUBTOTAL (1)							(\$ ) 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** = 0	X	= 0
Multiple Dependent	** = 0	X	= 0

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1202	18	2202	9	Claims in excess of 20	
	1201	88	2201	44	Independent claims in excess of 3	
	1203	300	2203	150	Multiple dependent claim, if not paid	
	1204	88	2204	44	Reissue independent claims over original patent	
	1205	18	2205	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)						(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130	2051	65	Surcharge - late filing fee or oath		
	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
	1053	130	1053	130	Non-English specification		
	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
	1251	110	2251	55	Extension for reply within first month		
	1252	430	2252	215	Extension for reply within second month		
	1253	980	2253	490	Extension for reply within third month		
	1254	1,530	2254	765	Extension for reply within fourth month		
	1255	2,080	2255	1,040	Extension for reply within fifth month		
	1401	340	2401	170	Notice of Appeal		
	1402	340	2402	170	Filing a brief in support of an appeal		
	1403	340	2403	150	Request for oral hearing		
	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
	1452	110	2452	55	Petition to revive - unavoidable		
	1453	1,370	2453	685	Petition to revive - unintentional		
	1501	1,370	2501	685	Utility issue fee (or reissue)		
	1502	490	2502	245	Design issue fee		
	1503	660	2503	330	Patent issue fee		
	1460	130	1460	130	Petitions to the Commissioner		
	1807	50	1807	50	Processing fee under 37 CFR 1.17 (c)		
	1806	180	1806	180	Submission of Information Disclosure Stmt		\$180
	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
	1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		
	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))		
	1801	790	2801	395	Request for Continued Examination (RCE)		
	1802	900	1802	900	Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180

## SUBMITTED BY

Name (Print/Type)

John C. Hermann

Registration No. (Attorney/Agent)

47,384

## Complete (if applicable)

Telephone

333-607-7311

Signature

Date

October 12, 2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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